



The decoupled anharmonic mode approximation
(DAMA)
Hands-on tutorial

Dr. Donat ADAMS

Reliability Science and Technology
Empa, Swiss Federal Laboratories for Materials Science
and Technology



Overview

- Comparison DAMA to harmonic approximation
- State of implementation, future plans
- Getting started (download code)
 - File structure
- Hands on
 - Setup
 - Phonons P
 - PES sampling (Vibrational modes)
 - Calculation Eigenstates
 - Calculation properties Dama
- Useful routines



Method: The free energy contains the information about the thermal properties

- At $T > 0$ Free energy is relevant, which can be calculated from the partition function $Z(T)$

$$Z(T) = \Omega'_1 \cdots \Omega'_N$$

- The contributions to Z from each mode q_μ can be calculated from the eigenenergies of the modes E_μ^j

- The eigenenergies must be calculated for each decoupled mode

$$E_\mu^{j'} = E_\mu^0 + E_\mu^j$$

$$A(T) = E_0 - k_B T \log (\Omega'_1 \cdots \Omega'_N) = E_0 + \underbrace{\sum_{\mu} E_\mu^0}_{\text{zero point energy}} - T \cdot k_B \underbrace{\sum_{\mu} \log \Omega_\mu}_{\text{entropy}}$$

ground state energy

Mode decoupling and general procedure of DAMA

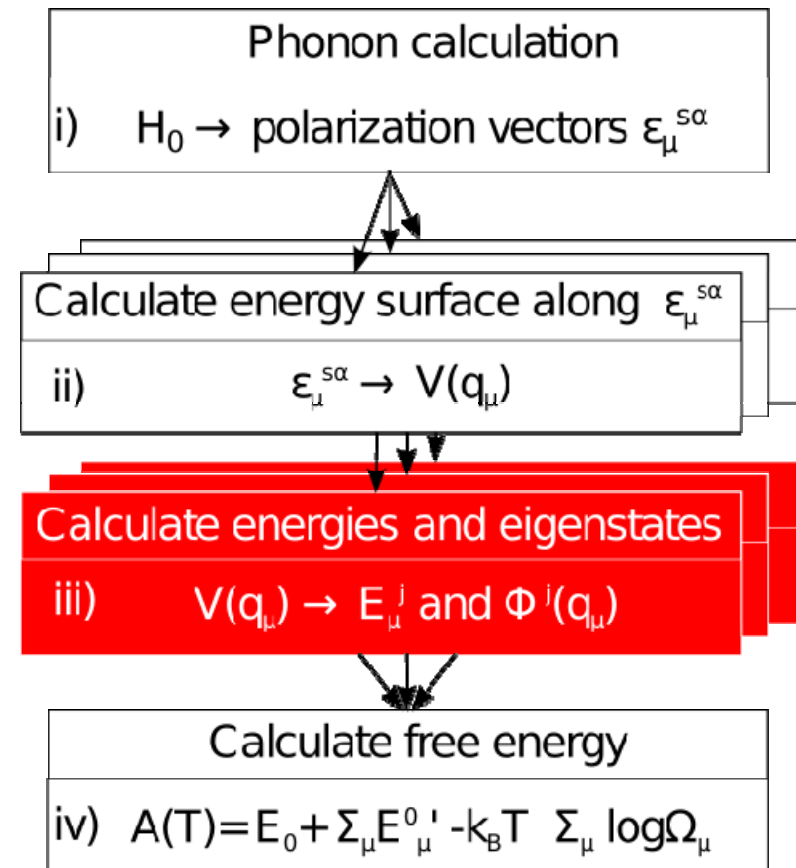


- Optimization of atomic positions
 - $V_1 = 0$
- Rotation of coordinates eliminate coupling between modes up to order 2 (Normal-Modes):

$$u^{s\alpha} = \sum_{\mu=1}^{3N} \frac{1}{\sqrt{M_s}} \epsilon_{\mu}^{s\alpha} q_{\mu}$$

$$P^{s\alpha} = \sum_{\mu=1}^{3N} \sqrt{M_s} \epsilon_{\mu}^{s\alpha} p_{\mu}$$

- DAMA rotates coordinates but keeps all diagonal terms of V
- Supercell: Brillouin zone sampled by Γ -point



Method: Numerical solution to calculate eigenstates of *any potential shape*



In DAMA the eigenenergies are calculated solving numerically the full hamiltonian for each decoupled mode

$$H_{\mu} = \frac{p_{\mu}^2}{2} + V_{\mu}^{diag}(q_{\mu})$$

$$V_{\mu}^{diag}(q_{\mu}) = \frac{c_p}{D q_{\mu}^2 - C} + \sum_j c_j \sin(j \cdot \kappa(q_{\mu} - a))$$

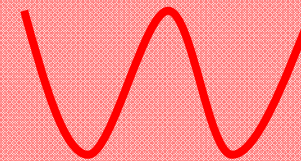
The potential is fitted to analytic functions (sampling the coulomb repulsion at large q_{μ})

$$b_k = \sqrt{\frac{2}{b-a}} \cdot \sin(k \kappa(q_{\mu} - a))$$

As a basis we use plane waves

The Hamiltonian then corresponds to a matrix, which can be numerically diagonalized

- eigenvalues correspond to the vibrational energies
- eigenvectors correspond to the coefficients of the basis functions

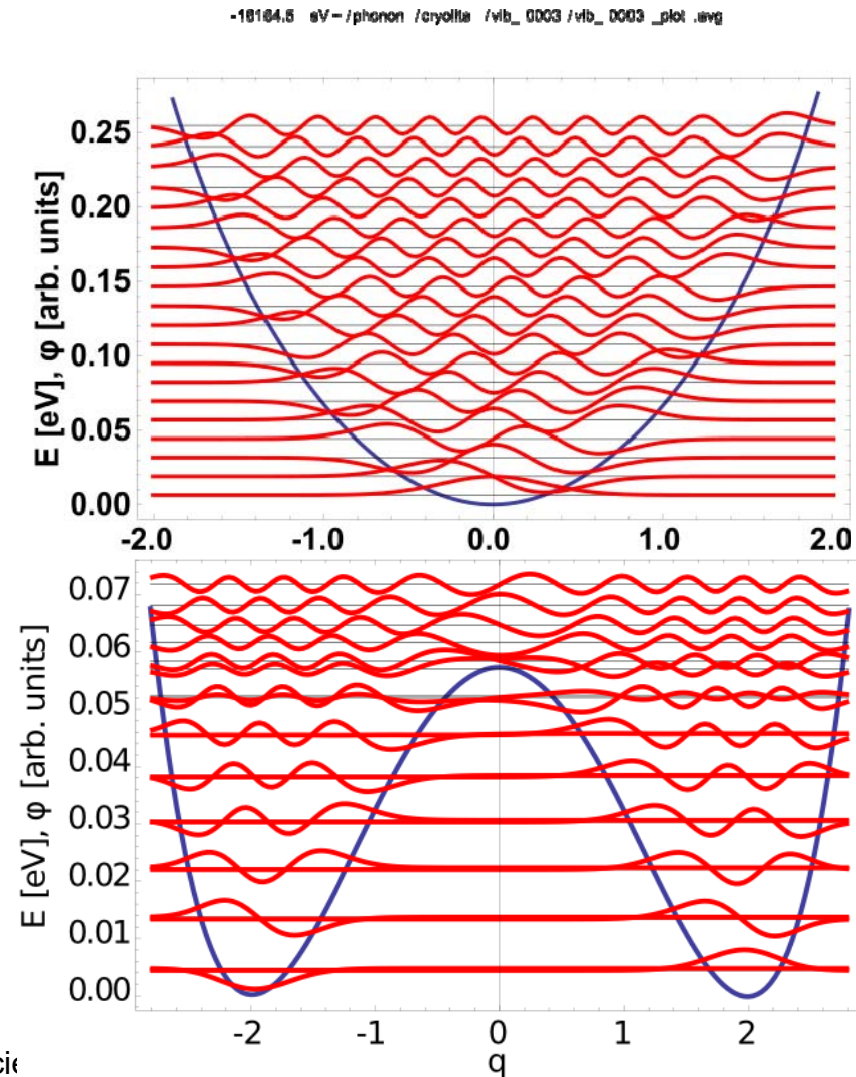


$$\langle b_j | H_{\mu} | b_k \rangle$$



Result: Vibrational modes

- Calculations suggest appearance of tunnelling states in the high temperature phase with a degenerate vibrational spectrum
- Double well states can explain large vibrational ellisoids





Overview implementation

- Present
 - Calculation of eigenstates
 - Free energy (Phase transitions, thermal expansion)
 - Atomic mean square deviation
 - Vibrational DOS
- Planned (2018)
 - Calculation of elastic constants and bulk modulus at $T > 0$
 - Reciprocal space sampling
- Further ideas
 - Plotting of cube files (probability density)
 - Structure optimization at $T > 0$



Get started

- Download from adams-science.com/dama
 - Dama routines (gzip)
 - Dama source (gzip)
 - Dama exerices (gzip)
- Transfer to supercomputer, uncompress
- Compile source (adjust Makefile)
- Add bin_dama to you path
 - `export PATH=~/.bin_dama:$PATH`



Workflow overview

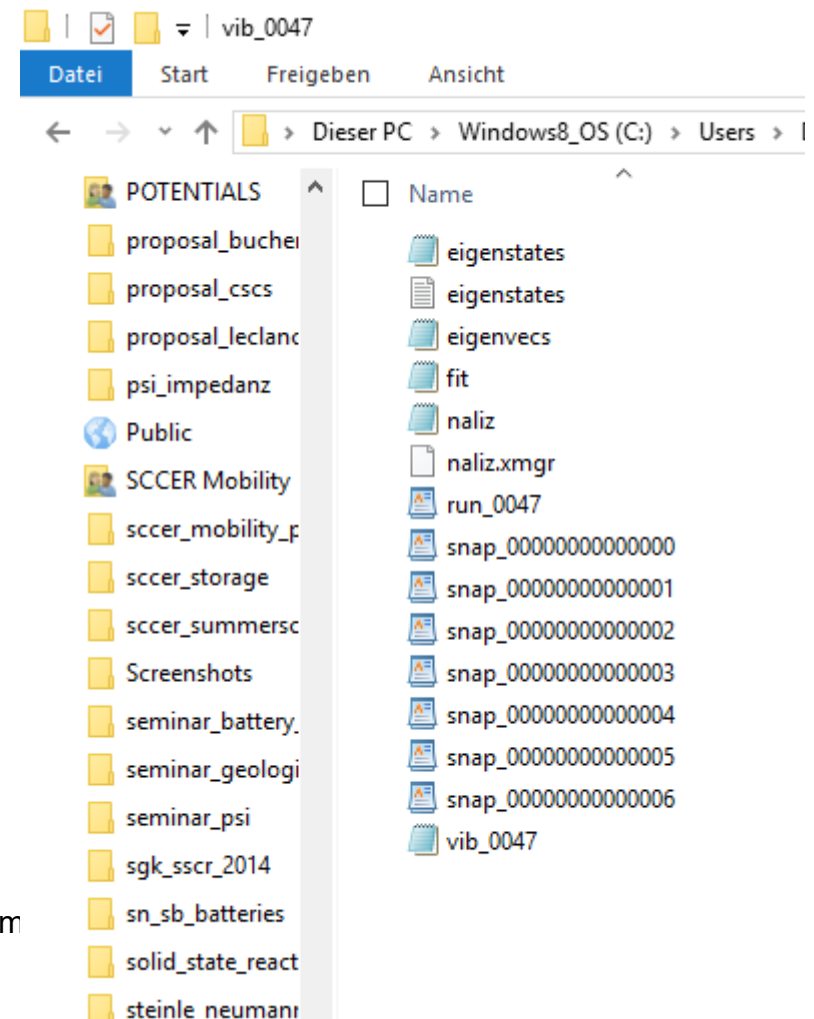
- **S**: Set up unicell & optimize
- **P**: Calculate phonon modes (decoupling; use ph.x or by hand)
- **V**: Sample potential energy surface
 - Setup vib_0000 to vib_xxxx
 - Run SCF calculations
- **E**: Calculate eigenstates for each mode (vib_0000 to vib_xxxx) using eigenstates.x (DAMA kernel)
- **D**: Calculate properties of solid using eigenanalysis.x (DAMA evaluation and synthesis)

=> S P V E D

Files S P V E D



- aluminum0500/vib0047
- input PES: snap_00000000.xyz to snap_00000006.xyz and corresponding input alu0500_000001.inp, etc
 - Typically 7 positions for stable modes, and 21 for unstable ones
- vib_0047.xyz contains all positions (like movie)
- Input and output of eigenstates.x:
 - naliz.dat: input
 - eigenstates.dat: energies
 - eigenvecs.dat: wave functions
 - fit.dat: comparison of fit of Energy data to discrete sampling

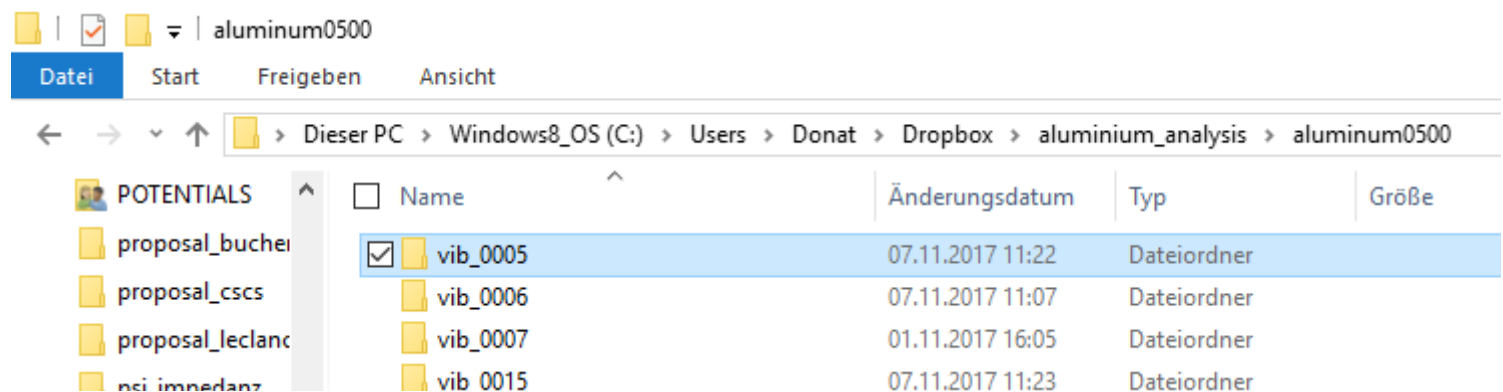


Files

S P V E D



- Aluminum0500
- S: cryo.scf.inp pw.scf input, further pwscf input is generated by job.p
- P-V: movie: folder containing the original polarization vectors vib_0000.dat, vib_0001.dat etc.
- E-D: quality_2017_11_27.dat: comparison of vibrational frequency DAMA vs. Harmonic approximation
- D: Enaliz.dat input for eigenanalysis.x



Nov. 2017

Set up cell: job.p

S P V E D



- Perform Self-consistent calculation
 - Determine unit cell
 - Determine atomic positions (optimization)

Calculation of phonon modes (step_001)



S P V E D

- P: Calculate phonon modes (decoupling; use ph.x or by hand)
- Either run ph.x to generate matdyn.modes (containing polarization vectors):
- Decompose cryo.scf.out (when optimization was performed):
 - viz_pwscf_run.bash -i cryo.scf.out
 - getlast_xyz.bash -i run.xyz -b
 - cp snap_118.56.xyz snap.xyz
- mkdir movie
 - cd movie
 - ln -s ../matdyn.modes
 - ln -s ../snap.xyz
 - decompose_vib.bash
 - cd ..
- **Exercise:** Determine polarization vector with lowest frequency ($\omega > 0$)

Calculation of phonon modes

S P V E D



- Alternative to ph.x: by hand
- Advantage: large supercells can be handled (in ph.x supercells are difficult to handle)
- Write polarization vectors of unit cell containing displacement in x,y and z-direction
 - 3 vectors per non equivalent atom in unit cell
 - copy vectors to movie/vib_0000.dat, movie/vib_0001.dat, movie/vib_0002.dat, ..
- Generate displacements using `cre_vib -l „0000 0001 0002“ -a 0.1 -n 2`
 - Small amplitude of displacement, only one displacement +/-
- run resulting calculations in vib_0000, vib_0001, vib_0002, vib_0003, etc.
- Extract forces + coupling constants using `get_coupling.bash`
- Transfer coupling.dat to local computer to reconstruct forces in supercell and generate polarization vectors vib_0000.dat, vib_0001.dat etc. (eg. Using `msgio3_eigenmodes.nb`)
- Move polarization vectors and vib_0000, etc to subdirectory coupling
- Transfer new polarization vectors back to original folder `movie/vib_0000.dat`, `movie/vib_0001.dat` etc.

Sample potential energy surface, step_002



S P V E D

- Verify that `cryo.scf.inp` contains `calculation= 'scf'` and `restart_mode='from_scratch'`
- For stables vibrational modes run
 - `cre_vib.bash -l „0000“ -a 0.5 -n 6`
- For unstables vibrational modes run
 - `cre_vib.bash -l „0056“ -a 3 -n 20`
- Indices can be provided as a list `-l „0001 0002 0003“`
 - List of *all indices* can be generated copying line `jl=`ls movie/vib_*dat`` from `cre_vib.bash`
- For all `vib_0000`, `vib_0001`, etc directories
 - Submit generated jobs
 - `nbearb` is a routine, that reads `list_jobs.txt`, and at each execution executes a `n` of lines (used to chain jobs, avoiding never ending loops)
 - ```
for fon in vib_3 ; do cd $fon ; ./nbearb -n -1 ; cd .. ; done
```
- Exercise: write directory `vib_0005`, and `pwscf` calculations therein (modify `vib_0005/job*vib*.p`)



# Calculate eigenstates, step\_003

## S P V E D



- Calculate eigenstates for each mode (vib\_0000 to vib\_xxxx) using eigenstates.x (DAMA kernel)
- Extraction of energy surface from pwscf.out and wring of DAMA input (naliz.dat) is implemented in resubmit.bash
  - resubmit.bash writes xmgrace input.
  - Generate visualization of PES and check quality fitting to discrete data from sampling:
    - `xmgrace -hardcopy -noask -noprint -nosafe -batch naliz.xmgr`
    - This is contained in first line of naliz.xmgr
- adjust ymax (eventually ymin) in order to increase quality of fitting
  - Drop points in naliz.dat, that evidently did not converge
- Exercise: in vib\_0010 extract all energies to naliz.dat and calculate eigenstates

Calculate free energy and properties at  $T > 0$ ,  
step\_004  
S P V E D



- Calculate properties of solid using eigenanalysis.x (DAMA evaluation and synthesis)
- Exercise: Calculate free energy and MSD at 500 K (modify enaliz.dat)

# Workflow overview

## S P V E D



- **S**: Set up unicell & optimize
- **P**: Calculate phonon modes (decoupling; use ph.x or by hand)
- **V**: Sample potential energy surface
  - Setup vib\_0000 to vib\_xxxx
  - Run SCF calculations
- **E**: Calculate eigenstates for each mode (vib\_0000 to vib\_xxxx) using eigenstates.x (DAMA kernel)
- **D**: Calculate properties of solid using eigenanalysis.x (DAMA evaluation and synthesis)

# Useful routines



## S P V E D

- `cre_fe_supercell.bash`, `cre_mg_supercell.bash`: From calculation of unit cell create input for supercell calculations S and P\_manual
- `gen_all_phonvib.bash`: Generates all possible polarization vectors (for manual determination of dynamical matrix and polarization vectors) P\_manual
- `get_coupling.bash`: Extract elements of dynamical matrix for manual phonon calculations P\_manual
- `coupling_to_dama.bash`: run before transferring true polarization files to mgo/movie (relevant when doing phonon calculation by hand) P\_manual-V
- `decomp_vib.bash`: decomposes `matdyn.modes` into individual polarization vectors P-V
- `cre_vib.bash`: using `movie/vib_0001.dat` etc. Setup input for sampling of PES P-V

# Useful routines

## S P **V** E D



- V:
- cre\_ela.bash: sample PES along further degrees of freedom (change of volume and volume conserving deformations of unit cell)  $V$
- genjob.bash: Based on snap\_00001.xyz, etc. generate all input files for pw.x  $V$
- genjobpim.bash: snap\_00001.xyz, etc. generate all input files for cp2k (PIM calculations)  $V$
- vibjob.bash: in vib\_0000 generate job\_vib.p, containing all pw.x calculations  $\bar{V}$
  
- E:
- harmapp.bash: From large vibrational in one vib\_0001 interpolate PES for small amplitudes harmonically  $E$

# Useful routines

## S P V E D



- recalcvib.bash: for vib\_0000.dat file determine moment of inertia (i.e. equivalent mass) E
- resubmit.bash: extract PES from pw.x output, run eigenstates.x E
- filesresubmitpim.bash : extract PES from cp2k output E
- test\_quality.bash: compare vibrational frequencies from E-D DAMA with those of ph.x (limited to small amplitudes)
- pim\_test\_quality.bash: adaptation of test\_quality.bash for PIM
- calculations E-D
- mkdosgraph.bash: generate input for visualization of vibrational DOS (uses my\_convolute.x) D
- phonon\_dos.bash: generate phonon dos using output of ph.x
- getdosdata.bash: Extract QH vibrational frequencies from all vib\_0000, vib\_0001 directories D